Basophil activation test reduces oral food challenges to nuts and sesame

Alexandra F. Santos, MD PhD, Marcel Bergmann, MD, Helen A. Brough, MBBS PhD, Natália Couto-Francisco, PhD, Matthew Kwok, BSc, Valentina Panetta, MSc, Diab Haddad, MBBS FRCPCH, Gideon Lack, MBBS FRCPCH, Philippe Eigenmann, MD, Jean-Christoph Caubet, MD

PII: S2213-2198(20)31403-3

DOI: https://doi.org/10.1016/j.jaip.2020.12.039

Reference: JAIP 3347

- To appear in: The Journal of Allergy and Clinical Immunology: In Practice
- Received Date: 19 September 2020
- Revised Date: 14 December 2020
- Accepted Date: 15 December 2020

Please cite this article as: Santos AF, Bergmann M, Brough HA, Couto-Francisco N, Kwok M, Panetta V, Haddad D, Lack G, Eigenmann P, Caubet JC, Basophil activation test reduces oral food challenges to nuts and sesame, *The Journal of Allergy and Clinical Immunology: In Practice* (2021), doi: https://doi.org/10.1016/j.jaip.2020.12.039.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier Inc. on behalf of the American Academy of Allergy, Asthma & Immunology



| 1 | |
|---|--|
| 1 | |
| | |
| | |

Basophil activation test reduces oral food challenges to nuts and sesame

2

| 3 | Alexandra F. Santos, MD PhD ^{1,2,3,4*} ; Marcel Bergmann, MD ^{5±} ; Helen A. Brough, MBBS PhD ^{1,3±} ; Natália |
|----------|--|
| 4 | Couto-Francisco, PhD ^{1,2,4} ; Matthew Kwok, BSc ^{1,2,4} ; Valentina Panetta, MSc ⁶ ; Diab Haddad, MBBS |
| 5 | FRCPCH ⁷ ; Gideon Lack, MBBS FRCPCH ^{1,2,3,4} ; Philippe Eigenmann, MD ⁵ ; Jean-Christoph Caubet, MD ⁵ . |
| 6 | |
| 7 | ¹ Department of Women and Children's Health (Pediatric Allergy), School of Life Course Sciences, |
| 8 | Faculty of Life Sciences and Medicine, King's College London, London, UK |
| 9 | ² Peter Gorer Department of Immunobiology, School of Immunology and Microbial Sciences, King's |
| 10 | College London, London, UK |
| 11 | ³ Children's Allergy Service, Evelina London, Guy's and St Thomas' Hospital, London, UK |
| 12 | ⁴ Asthma UK Centre in Allergic Mechanisms of Asthma, London, UK |
| 13 | ⁵ University Hospitals of Geneva, Geneva, Switzerland. |
| 14 | ⁶ L'altrastatistica srl -Consultancy & Training- Biostatistics office - Rome - Italy |
| 15 | ⁷ St Peters' Hospital, London, United Kingdom |
| 16 17 | [±] These authors contributed equally to this manuscript |
| 18 | *Corresponding author: |
| 19 | Name: Alexandra F. Santos |
| 20 | Postal address: Department of Pediatric Allergy, 2nd floor, South Wing, St Thomas' Hospital, SE1 7EH |
| 21 | London, United Kingdom |
| 22 | Telephone: +44 (0) 20 7188 6424 |
| 23 | Fax number: +44 (0) 20 7403 8640 |
| | |

24 Email address: alexandra.santos@kcl.ac.uk

25

Declaration of sources of funding: The work performed in London was supported by the Medical 26 Research Council (MRC Clinical Research Training Fellowship G090218, MRC Clinician Scientist 27 28 Fellowship MR/M008517/1 and MRC Centenary Early Career Award awarded to A. F. Santos), Food 29 Allergy Research and Education (FARE), the Asthma UK Centre of Allergic Mechanisms of Asthma and the Department of Health via the National Institute for Health Research (NIHR) comprehensive 30 31 Biomedical Research Centre award to Guy's & St Thomas' NHS Foundation Trust in partnership with King's College London and King's College Hospital NHS Foundation Trust. The Ulrich Muller Gierock 32 33 Foundation supported the work done in Geneva. Allergens and FlowCAST kits for BATwere provided by Buhlmann, Switzerland. Lancets and allergen extracts for skin prick testing were provided by 34 Stallergenes. Specific IgE and IgG4 testing were sponsored by Thermofisher, Uppsala, Sweden. Some 35 challenge foods were provided by Meridien. 36

37

38 **Conflicts of interest:**

Alexandra F. Santos reports grants and personal fees from Medical Research Council 39 40 (MR/M008517/1); grants from Asthma UK and the NIHR through the Biomedical Research Centre (BRC) award to Guy's and St Thomas' NHS Foundation Trust, during the conduct of the 41 study; grants from Immune Tolerance Network/National Institute of Allergy and Infectious 42 43 Diseases (NIAID, NIH), grants from Asthma UK; personal fees from Thermo Scientific, Nutricia, Infomed, Novartis, Allergy Therapeutics, Buhlmann, as well as research support from 44 Buhlmann and Thermo Scientific through a collaboration agreement with King's College 45 London. Helen Brough declares research support from ThermoFisher scientific; personal fees 46 from DBV Technologies and Sanofi. Philippe Eigenmann reports grants from Ulrich Muller 47

48 Gierock Foundation; research support and lecture honoraria from ThermoFisher Scientific; 49 personal fees from DBV technologies, Nestle, Danone, Novartis, Abbott, Thermofisher Scientific, ALK; royalties from UpToDate and Elsevier; stock options from DBV Technologies; 50 other from the European Academy of Allergy and Clinical Immunology. Gideon Lack reports 51 grants from National Institute of Health Research, National Institute of Allergy and Infectious 52 Diseases (NIAID, NIH), Food Allergy & Research Education (FARE), National Peanut Board, 53 54 The Davis Foundation, and Action Medical Research; personal fees from DBV Technologies, 55 Novartis, Sanofi-Genzyme, Regeneron, ALK-Abello; stock options from DBV Technologies; shares from Mission Mighty Me. Diab Haddad reports personal fees from Thermofisher and 56 57 Nutricia. Jean-Christoph Caubet reports research support and personal fees from Thermofisher. The other authors have no conflicts of interest to declare. 58

59

- 60 Word count: 3472
- 61 Tables: 4 tables
- 62 **Figures:** 4 figures
- 63 **Online repository:** 2 tables and 1 figure

64 Abstract

65 **Background:** Nut allergic patients are often IgE sensitized to other nuts/seeds and need multiple oral 66 food challenges (OFC) before the safe nuts can be introduced in the diet. However, OFC are time-67 consuming and risky procedures.

68 **Objective:** to assess the utility of the basophil activation test (BAT) to predict the allergic status and 69 reduce the need for OFC in children with one or more nut or seed allergies.

70 Methods: Participants in the Pronuts study recruited at the Geneva and the London centers were tested on 71 the BAT to hazelnut, cashew nut, sesame, almond and peanut, Ara h 1, Ara h 2, Ara h 6, using 72 FlowCAST, a commercially available BAT kit, and flow cytometry.

Results: The BAT to hazelnut, cashew nut, sesame, almond and peanut discriminated between allergic and non-allergic children, to the respective nut or seed. The optimal allergen concentration and their optimal, positive and negative cut-offs were identified for BAT and the other tests, for each nut and seed. Using BAT as a second step in the diagnostic process, following equivocal skin prick test and IgE to extracts and components, reduced the number of total OFCs by 5-15% and of positive OFC by 33-75% (except for hazelnut) with 0% false-negatives and a diagnostic accuracy of 96-100%.

79 **Conclusion:** The BAT proved to be a useful diagnostic tool, used in a stepwise approach, to predict the 80 allergic status and reduce the number of OFC in the Pronuts study patients with at least one nut allergy 81 willing to consume selected nuts.

- 82
- 83 Abstract word count: 249 words

84

86 1. What is already known about this topic?

⁸⁵ Highlights box:

87 The introduction of nuts and seeds in the diet of children with one or more nut allergies is safe and

88 feasible; however, due to polysensitization, this often requires multiple oral food challenges (OFC).

89 2. What does this article add to our knowledge?

90 The basophil activation test (BAT), when used following skin prick and specific IgE testing, can reduce

91 the number of OFCs, particularly positive OFCs, maintaining very high diagnostic accuracy.

92 3. How does this study impact current management guidelines?

In children with one or more nut allergies, needing OFC to clarify the allergic status to other nuts, a
positive BAT confirms allergy whreas a negative BAT requires OFC before recommending nut
consumption or avoidance.

96

97 Keywords:

98 Food allergy, basophil activation test, tree nuts, sesame seed, peanut, skin prick test, specific IgE,

99 diagnosis, severity, threshold dose

100

101 Abbreviations:

- 102 BAT, basophil activation test
- 103 OFC, oral food challenge
- 104 ROC, receiver operator curve
- 105 SPT, skin prick testing
- 106 sIgE, specific IgE

107

108

109 Introduction:

110 IgE sensitization to tree nuts and seeds is common in children with peanut and other nut and seed allergies and does not necessarily translate into clinical reactivity^{1, 2}. Tree nut and seed allergies can lead to not 111 112 only dietary but also social restrictions and significant anxiety associated with the fear of developing potentially severe allergic reactions unexpectedly^{3, 4}. This has wider implications in the lives of children 113 and their families and can significantly impact on their quality of life³⁻⁵. A significant proportion of 114 children allergic to one or more nuts or seeds are able to tolerate other $nut(s)^2$. In motivated families, 115 interested and able to consume selected nuts whilst avoiding others, the allergic status to individual nuts 116 and seeds can be verified and selective consumption of the nuts to which there is proven tolerance can be 117 encouraged⁶⁻⁸. This should be accompanied by comprehensive information about potential risks, namely 118 119 cross-contamination and misidentification of nuts, and the need to continue regular consumption of the safe nuts at home⁹. The Pronuts study recently demonstrated that introduction of nuts and seeds in the diet 120 121 of children with one or more nut allergies is safe and feasible².

Fear of co-allergy in children allergic to one or more nuts frequently leads to blanket advice to avoid all 122 123 nuts. Concerns regarding potential allergy to nuts also arise also when managing children with other food 124 allergies, with family history of nut allergies and/or with underlying atopic conditions. The demonstration of sensitisation to nuts on SPT or sIgE testing can heighten such concerns. While non-sensitized children 125 126 without a history of reaction are often recommended to introduce the nuts in the diet at home, sensitized children might have to undergo oral food challenge (OFC) and often multiple OFCs in order to allow safe 127 consumption of nuts and seeds that children are not allergic to². Given the risk and resources involved in 128 129 the performance of OFC, it would be beneficial to have a diagnostic approach that could reduce the 130 number of children requiring OFC and allow proactive introduction of safe nuts in the diet.

131 The basophil activation test (BAT) is a flow cytometry-based test which assesses the expression of 132 activation markers, namely CD63, on the surface of blood basophils following stimulation with allergen 133 or controls¹⁰. We previously demonstrated that the BAT to peanut had 97% diagnostic accuracy and could

reduce the number of children requiring an OFC by about 67%¹¹. We have further validated the diagnostic utility of BAT in a large prospectively independent study of well-characterised patients¹². Considering the high specificity of BAT and the practicalities involved in its performance (e.g. BAT requires fresh blood and flow cytometry), we have proposed that the BAT could be used as a second-step in the diagnosis of food allergy, in patients for whom the combination of the clinical history with SPT or IgE testing could not lead the clinician to a definite diagnosis^{13, 14}.

In this sub-study of the PRONUTS study, we aimed to assess the utility of BAT, using a commercially available kit, to diagnose nut and seed allergies in patients with at least one nut or seed allergy and the impact of BAT on the number of OFC required to reach an accurate diagnosis and enable the clinician to provide appropriate advice on avoidance or consumption of nuts or seeds. We hypothesized that BAT had high diagnostic accuracy and allowed reduction in the number of OFCs required, thus leading to a more accurate and safe approach to diagnosing tree nut and seed allergies.

146

147 Methods:

148 **The Pronuts study**

The Pronuts study (NCT01744990 in Clinicaltrials.gov) was a prospective multicentre study, with 149 150 recruitment undertaken between 2012 and 2015, which aimed to assess safety and feasibility of introducing nuts in the diet of children with at least a single nut allergy. The method is described 151 extensively elsewhere. Briefly, children aged between 6 months and 16 years in specialised Pediatric 152 153 Allergy centres in London, Geneva and Valencia were invited to participate. At the core of the 154 recruitment was the confirmation of the diagnosis of allergy to at least one nut, including peanut, sesame and tree nuts. Diagnosis of allergy was confirmed by positive OFC or convincing history of IgE-mediated 155 156 allergic reaction to the culprit nut in the previous 12 months and SPT and sIgE greater or equal to the 95% 157 positive predicting value for the respective nut or seed allergy (e.g. 8 mm on SPT and 15 KU/L on

specific IgE to peanut^{11, 15}). Exclusion criteria were uncontrolled asthma, chronic urticaria, chronic systemic disease, daily antihistamine or oral allergy syndrome only to the index nut, history of life-threatening anaphylaxis as defined by documented desaturation <89%, >20% drop systolic in blood pressure or admission to a paediatric intensive care unit (other cases of anaphylaxis were admissible). Ethical approval was obtained at each site, namely UK (14/LO/0066), Geneva (CER 12-020PS) and Valencia (2012/0108), and written informed consent was obtained from all participants.

164

165 Study procedures

Children screened for entry into the study underwent clinical assessment, skin prick testing, blood 166 collection for sIgE testing and BAT and oral food challenges. For each nut/seed, 3 groups of patients were 167 defined based on the allergic status (allergic vs non-allergic) and on the presence of allergen-specific IgE: 168 169 sensitized allergic, sensitized non-allergic and non-sensitized non-allergic. The clinical information, SPT and OFC results were not available to the performers of sIgE or BAT. Clinical information and SPT 170 171 results were available to the team performing OFC. As this sub-study focuses on the utility of the BAT to peanut, sesame, cashew, hazelnut and almond and the BAT was performed only at the London and 172 173 Geneva sites, the analyses presented here are limited to data acquired at these two study sites and for the 174 aforementioned nuts and seeds.

175

176 Skin prick testing and specific IgE measurements

Skin prick testing was performed using plastic lancets Stallerpoint[®] and commercial allergen extracts for
peanut, hazelnut, cashew and almond (Stallergenes, France) and tahini paste (Meridian Foods, UK) for
sesame. Maximum wheal diameter was recorded after 15 minutes.

180 Serum sIgE levels to allergen extracts (cashew nut, sesame, hazelnut, almond and peanut) and to 181 individual allergens (Ara h 1/2/3/8/9, Cor a 1/8/9/14 and Ana o 3) were measured using ImmunoCAP 182 (Thermofisher, Uppsala, Sweden).

183

184 Basophil activation test

BAT was performed to hazelnut, cashew nut, sesame, almond and peanut extracts and peanut components 185 Ara h 1, Ara h 2, Ara h 6, using stimulants (CAST[®] allergens, Basel, Switzerland) and reagents provided 186 in the Flow CAST[®] kit (BÜHLMANN, Basel, Switzerland) and following the manufacturer's 187 188 instructions. A schematic figure of the BAT procedure has been included in a previous publication¹³. Briefly, blood was collected in an EDTA-containing Vacutainer tube and mixed gently. Stimulation and 189 lysing buffers were pre-warmed to room temperature. Allergens were diluted following the allergen-190 dilution scheme shown in Table E1. Equal volume (50µL) of stimulant and whole blood and 100µL of 191 stimulation buffer were added to 5 mL tubes and mixed gently. Staining reagent (20µL) containing anti-192 193 CCR3-PE and anti-CD63-FITC was added subsequently. All tubes were mixed, covered and incubated at 194 37°C for 25 minutes in an incubator, after which 2 mL of lysing reagent was added and each tube 195 vortexed gently and incubated for 10 minutes at room temperature in the dark. After centrifugation at 500xg for 5 min, supernatants were decanted gently and pellets resuspended and kept at 4°C until 196 197 analyses. Flow cytometry was performed at each site in a FACS CantoII with FACSDiva software (BD Biosciences, San Jose, Calif) and data were analyzed using FlowJo software (version 7.6.5; TreeStar, 198 199 Ashland, Ore) by an investigator who was blinded to the clinical features of the participants. Basophils 200 were gated as SSClow/CCR3+ and activation was expressed as %CD63+ basophils. corrected for the spontaneous basophil activation (i.e. subtracted the %CD63+ basophils in the unstimulated condition). All 201 202 the flow cytometry data were analysed by the same researcher at the London center who was blind to all the clinical features. Reagents for BAT were provided by BÜHLMANN under agreements with King's 203 204 College London and Geneva University Hospitals.

205

206 Oral food challenges

OFC were unblinded and performed following the PRACTALL guidelines reaching a cumulative dose of 4.43g of nut protein for patients of 36 months of age or older and 3.43g for younger children. Allergic reactions were treated according to the local hospital guidelines. Children with positive OFC were recommended to avoid the nut strictly in the diet and provided with an emergency treatment plan, whilst children with negative OFC were recommended to consume the nut regularly in the diet.

212

213 Statistical analyses

214 Qualitative variables were reported as number and percentage and compared using Chi-squared test. Chi 215 squared test was also used to compare all categorical variables. Quantitative variables were reported as 216 median and interquartile range and compared using Mann-Whitney and Kruskall-Wallis tests for two or 217 more than two groups, respectively. Receiver operator curve (ROC) analyses were used to assess the 218 discriminative ability of tests between allergic and non-allergic subjects. Optimal concentration of 219 allergen for the BAT was determined based on the largest area under the ROC curve. Comparison of ROC curves was made by DeLong Test included in SAS ROCCONTRAST Statement¹⁶. Optimal, negative and 220 221 positive cut-offs were determined based on Youden index, 95% negative predictive value and 95% 222 positive predictive value. Cut-offs generated based on this dataset were used to determine the equivocal 223 cases when assessing the diagnostic work-up in two steps. Seven (7.8%) subjects had non-responder 224 basophils and were excluded from the ROC curve analyses as were subjects without result for the other 225 tests as only subjects with complete datasets could be included. Demographic and clinical characteristics of these 7 patients did not differ from the rest of the population (Table E2). In the real-life assessment of 226 227 BAT used as a second step in the diagnostic process, subjects with non-responder basophils were 228 included. For all tests, including BAT, results at or above the 95% positive predictive value (PPV) cut-off

were considered positive; results below the 95% NPV were considered negative and the results between cut-offs were considered equivocal. The impact in the number of OFC was calculated as if all patients had undergone OFC with the outcome of OFC based on the allergic status (Figure 1). SAS 9.4 was used for all analysis, a p value <0.05 was considered statistically significant.

233

234 **Results:**

235 Study population

236 Ninety two children were assessed for possible allergy to cashew, hazelnut, almond, peanut and sesame 237 seed at the London and Geneva centres and ninety (98%) were tested on the BAT to all five foods. The consort diagram in Figure 1 shows the definition and outcome of reference standard and the outcome of 238 the BAT for each nut or seed. Demographic, clinical and immunologic characteristics of the studied 239 population is reported in Table I. The prevalence of co-sensitizations and co-allergies to different nuts 240 was previously published for the whole Pronuts study cohort². Overall, the most common allergy in the 241 242 cohort studied here was peanut allergy followed by cashew nut, hazelnut, sesame seed and almond allergies. Cashew nut allergy was more common in Geneva but the prevalence of atopic co-morbidities, 243 244 namely eczema, asthma and allergic rhinitis, was similar across centres. Children seen in London were 245 slightly younger and showed a higher proportion of activated basophils in response to peanut, Ara h 2 and 246 the IgE-mediated positive control anti-FceRI (but not the non-IgE-mediated positive control fMLP) 247 compared to children seen in Geneva.

248

249 Basophil activation test discriminated peanut, tree nut and seed allergic from non-allergic children

The BAT to hazelnut, cashew nut, sesame, almond, peanut, Ara h 1, Ara h 2, Ara h 6 showed a higher proportion of activated basophils in allergic compared to non-allergic subjects (Figure 2 and Table II) (p<0.001 in the vast majority of allergen concentrations). Ara h 2 on the BAT performed better than Ara h

6, Ara h 1 or peanut extract. For each nut, an optimal allergen concentration was identified based on the
largest area under the ROC curve built for the discrimination between allergy and tolerance (Figure E1).
Optimal concentrations of allergen tested were 22.73 ng/mL for peanut, 45.45 ng/mL for Ara h 1, 24.55
ng/mL for Ara h 2, 0.91 ng/mL for Ara h 6, 4.545 ng/mL for hazelnut, 22.73 ng/mL for cashew, 113.64
ng/mL for almond and 113.64 ng/mL for sesame.

Based on ROC curve analyses, cut-offs were generated for BAT to each nut or seed, including the optimal cut-off (i.e. best balance between sensitivity and specificity determined by the Youden index), negative cut-off (i.e. closest to the 95% NPV) and positive cut-off (i.e. closest to the 95% PPV). The sensitivity, specificity, PPV and NPV for each cut-off are indicated in Table III. Although not statistically significant except for cashew, the area under the ROC curve for BAT was larger than the ones for the other available tests in the diagnosis of sesame and almond, similar for hazelnut and lower for peanut and cashew nut allergies (Figure 3).

For BAT to peanut components, we also looked at the diagnostic performance in patients who were sensitized to the respective components and these were generally superior than the performance of the same tests in the whole population (Figure E2).

268

Basophil activation test as a second step in the diagnostic work-up reduces the number of oral food challenges

Given the high specificity of the BAT, which complements the high sensitivity of SPT and sIgE, and the practicalities involved in the performance of BAT, which requires fresh blood processed soon after collection and flow cytometry, we had proposed, in a previous study¹¹, that BAT would be most useful as a second step in the diagnostic work-up for peanut allergy, done in patients with equivocal results for SPT and sIgE to clarify the allergic status. Patients with positive BAT would have confirmed peanut allergy and patients with a BAT result below the positive cut-off (i.e. negative or intermediate BAT) or non-

277 responder basophils would need an OFC. We assessed the impact of this approach in the number of OFC not only to peanut but also to the other nuts and seeds assessed on the BAT. Table E3 The cut-offs 278 279 indicates positive, optimal and negative cut-offs for SPT, sIgE to whole extract and components with the respective sensitivity, specificity and predictive values. Patients with results greater or equal to the 95% 280 PPV cut-off were considered allergic, patients with results lower than the 95% NPV cut-off were 281 considered not allergic and the patients with any combination of the two or with results that fell between 282 283 the 95% PPV and 95% NPV cut-offs were considered equivocal. See Figure E3 for a graphical representation of the cut-offs and allergic status to cashew nut. 284

285 The diagnostic accuracy and resulting number of OFC following this approach (i.e. a first step consisting of SPT and sIgE and a second step consisting of BAT) are represented in Table IV for participants with 286 equivocal combination of SPT, sIgE to extracts and sIgE to individual allergens or components. Figure 4 287 288 shows similar figures for SPT followed by BAT, sIgE to extracts followed by BAT and sIgE to 289 components followed by BAT. Globally, the approach of using BAT as a second step in the diagnostic work-up for nut and seed allergies had a 97-100% accuracy with 0% false-negatives and ensured a 5-15% 290 reduction in the number of OFC, except for BAT to hazelnut. The reduction in positive OFC seen with 291 this approach ranged between 50 and 75% for the same nuts, thus sparing children from experiencing 292 uncomfortable and potentially severe allergic reactions. 293

294

295 **Discussion:**

Avoiding nuts and seeds can have a significant negative impact on the quality of life and mental health of allergic children and their families. The majority of children with nut or seed allergies can tolerate other nuts in their diet and motivated and informed families can be recommended selective nut eating, whilst avoiding the culprit nuts to which the child is allergic. The Pronuts study confirmed that introduction of nuts/seeds in the diet of children with one or more nut allergies is feasible and safe²; however, this may require multiple OFC given that IgE sensitization to multiple nuts and seeds is common in nut allergic.

302 OFC can be stressful for patients and families and can potentially cause allergic reactions of unpredictable 303 severity. The BAT has shown to have high specificity to diagnose peanut allergy in previous studies, and can be used as a second-step in the diagnostic work-up of food allergy¹¹. We applied this concept to 304 305 participants in the Pronuts study, who had one or more nut/seed allergies, and were being assessed for possible allergy to the other nuts and sesame. We found that the diagnostic performance of BAT and the 306 307 other tests varied between nuts/seed but generally BAT distinguished well between allergic and non-308 allergic children, among children with one or more allergies to nuts or sesame. Although not statistically significant except for cashew, the area under the ROC curve for BAT was larger than the ones of the other 309 310 available tests in the diagnosis of sesame and almond, similar for hazelnut and lower for peanut and 311 cashew nut allergies (Figure 3). BAT to Ara h 2 was better than BAT to peanut, Ara h 1 or Ara h 6. The 312 performance of BAT to peanut components was even better when only children sensitized to that specific 313 allergen, further supporting the use of BAT as a second lines test when IgE sensitization could not 314 support a definitive diagnosis. When applied as a second step in the diagnostic work-up, BAT had 96-315 100% diagnostic accuracy and allowed a reduction in OFC, particularly of positive OFC (except for 316 hazelnut), thus rendering the diagnosis of food allergy at the same time accurate, safe and more 317 comfortable for children with suspected nut/seed allergies.

318 Doing BAT only in patients with an equivocal diagnosis following clinical history, SPT and sIgE and 319 doing OFC in patients with negative or equivocal BAT result, i.e. between positive and negative cut-offs 320 or non-responder basophils, allowed a reduction in patients experiencing allergic reactions during OFC. 321 This reduction varied between 50 and 75% in the whole population of patients tested and between 33 and 322 50% for the subgroup of patients who underwent OFC as part of the Pronuts study protocol; except for hazelnut allergy, for which BAT did not make a difference in the number of OFC, probably because its 323 324 diagnostic performance was very similar to that of the other tests. These high percentages of reduction in 325 OFC relate, however, to small event numbers and therefore may have lower impact in terms of patient 326 numbers, depending on the scale on which BAT is applied in clinical pratice. Adopting the same approach

327 of doing BAT as a second step, following only SPT or only SIgE, also enabled a reduction in OFC and particularly of positive OFC. Generally, doing SPT and BAT was better than doing sIgE and BAT (except 328 329 for sesame), enabling the greatest reduction in OFC; however, these approaches with fewer tests resulted 330 in a small proportion of false-positives and false-negatives. The false-negatives are the most concerning 331 as they can result in accidental reactions in the community, which are potentially severe. Performing all 332 tests reduced the false-negatives to zero but often led to more OFC overall. From a practical point of 333 view, it is important to note that we collected blood for BAT immediately after SPT in the majority of patients and that the same sequence was followed in previous studies^{11, 12}. Although blood for BAT 334 335 should not be collected after in vivo procedures with a significant risk of systemic allergic reactions, such 336 as intradermal tests and provocation tests, SPT to foods did not seem to affect BAT performance. Tahini was used for sesame SPT as this contains fat and lipophilic allergens that are often not represented in 337 338 defatted allergen extracts. A recent study demonstrated that using both extract and tahini paste leads to a 339 better combination of sensitivity and specificity, with the extract providing higher specificity and tahini providing higher sensitivity¹⁷. 340

341 The overlap in BAT results between allergic and non-allergic subjects was smaller for sesame, reflecting the superior diagnostic accuracy of BAT to sesame compared to BAT to peanut or tree nuts. The 342 343 performance of BAT to peanut in absolute terms was not as good as previously reported by us¹¹. 344 Differences in the BAT methodology between the two studies are likely to have accounted for this discrepancy, as the patient population is similar, particularly in the London site, and the performance of 345 346 the other tests namely SPT and Ara h 2-sIgE are comparable in both studies. Different methods for 347 performing the BAT have been described and the methodology adopted can have an impact on the results, from the laboratory procedure to flow cytometry and data analyses^{14, 18, 19}. Aspects of the methodology to 348 349 consider are: the markers chosen to identify the basophil population, the fluorochromes used, the allergen extract preparations, the allergen concentration selected and the anticoagulant used for blood collection. 350 351 EDTA chelates calcium and therefore prevents the calcium influx into the basophils required for

degranulation²⁰, which has advantages for stabilisation of samples before testing but requires addition of 352 353 calcium at the time of the BAT experiment in a given concentration, which may or may not correspond to the physiological concentration of individual patients. These are some of the aspects to consider if a 354 355 methodological study is to be performed; however, only a head-to-head comparison of both BAT methods 356 would allow us to confirm this. The BAT performance for hazelnut and cashew reported in the Nutcracker study was apparently better²¹; however, differences in the patient population may have 357 358 contributed to this as in the Nutcracker study only patients who had no history of reaction to the nut were 359 challenged and thus it is possible that more highly allergic (who were not challenged) patients with higher results for BAT were included, allowing a better discrimination between allergic and non-allergic 360 361 subjects.

362 We found that the performance of BAT to Ara h 2 was superior to that of BAT to peanut extract, Ara h 1 363 or Ara h 6. This reflects the superior diagnostic discriminative ability of Ara h 2 compared to the other 364 allergen preparations, particularly compared to peanut extract and Ara h 1, as previously shown for serologic tests^{11, 22}. We have demonstrated the dominance of Ara h 2 also over Ara h 6 in a recently 365 published study using IgE binding and inhibition assays and cellular effector assays²³. In our previous 366 BAT to peanut study¹¹, that we have recently validated using the same BAT methodology in a very large 367 population²⁴, we did not perform BAT to Ara h 2, but it would be challenging to have improved the 368 369 diagnostic utility of BAT to peanut in our previous study, which had sensitivity and specificity already 370 above 95%. The disadvantage of using a single allergen in the BAT, as opposed to the whole extract, is 371 that some allergic patients may not be sensitized to that individual allergen, potentially resulting in a false-negative test. On the contrary, the BAT may become more specific, as may have been the case if we 372 373 had performed BAT to Cor a 14 alongside hazelnut in the present study, given that BAT to hazelnut had 374 quite a few false-positives, possibly due to sensitization to PR-10 proteins secondary to tree pollen 375 allergy.

The Pronuts study constitutes a discovery cohort and our findings need to be validated in an independent cohort. The cut-offs generated are likely to be suited to population with a similar (high) prevalence of nut allergies, as expected in patients seen in a specialized Allergy clinic. Once validated, this approach could be very useful for clinicians evaluating polysensitized children with suspected peanut, tree nut and sesame seed allergies. Attention should be given to extrapolate these cut-offs only to populations that are similar to the Pronuts study population.

In summary, BAT can potentially be very helpful in the management of children with one or more nut 382 allergies to identify the safe nuts that can be introduced in the diet. As BAT is very specific in confirming 383 nut and seed allergies, BAT may reduce the number of patients that experience allergic reactions during 384 OFC thus improving the safety profile of this procedure and opening up room for other indications for 385 386 OFC, namely educational and psychotherapeutic purposes. In the future, external validation of our 387 findings in independent cohorts and standardization of the methodology so that their reliable and 388 consistent application can be broadened and used to improve the care of a larger number of children with 389 suspected food allergies.

390

391 Acknowledgements:

392 We wish to thank Jérôme Weber for his excellence assistance in performing some of the tests in Geneva,

393 and Michele Romano and Michael Schneider from Bühlmann for suggestions on the manuscript.

394

395

396 References

- Ewan PW, Clark AT. Long-term prospective observational study of patients with peanut and nut
 allergy after participation in a management plan. Lancet 2001; 357:111-5.
- Brough HA, Caubet JC, Mazon A, Haddad D, Bergmann MM, Wassenberg J, et al. Defining
 challenge-proven coexistent nut and sesame seed allergy: A prospective multicenter European study. J
 Allergy Clin Immunol 2020; 145:1231-9.
- 402 3. King RM, Knibb RC, Hourihane JO. Impact of peanut allergy on quality of life, stress and 403 anxiety in the family. Allergy 2009; 64:461-8.
- 404 4. Roy KM, Roberts MC. Peanut allergy in children: relationships to health-related quality of life, 405 anxiety, and parental stress. Clin Pediatr (Phila) 2011; 50:1045-51.
- 406 5. Avery NJ, King RM, Knight S, Hourihane JO. Assessment of quality of life in children with 407 peanut allergy. Pediatr Allergy Immunol 2003; 14:378-82.
- Brough HA, Caubet JC. Selective nut-eating in peanut or tree nut allergic children-How can
 molecular allergology help? Clin Exp Allergy 2018; 48:1245.
- 410 7. Eigenmann PA, Lack G, Mazon A, Nieto A, Haddad D, Brough HA, et al. Managing Nut
 411 Allergy: A Remaining Clinical Challenge. J Allergy Clin Immunol Pract 2017; 5:296-300.
- 412 8. Graham F, Caubet JC, Eigenmann PA. Can my child with IgE-mediated peanut allergy introduce
 413 foods labeled with "may contain traces"? Pediatr Allergy Immunol 2020.
- Brough HA, Turner PJ, Wright T, Fox AT, Taylor SL, Warner JO, et al. Dietary management of
 peanut and tree nut allergy: what exactly should patients avoid? Clin Exp Allergy 2015; 45:859-71.
- 416 10. Hemmings O, Kwok M, McKendry R, Santos AF. Basophil Activation Test: Old and New
 417 Applications in Allergy. Curr Allergy Asthma Rep 2018; 18:77.
- 418 11. Santos AF, Douiri A, Becares N, Wu SY, Stephens A, Radulovic S, et al. Basophil activation test
 419 discriminates between allergy and tolerance in peanut-sensitized children. J Allergy Clin Immunol 2014;
 420 134:645-52.
- 421 12. Santos AF, Du Toit G, O'Rourke C, Becares N, Couto-Francisco N, Radulovic S, et al.
 422 Biomarkers of severity and threshold of allergic reactions during oral peanut challenges. J Allergy Clin
 423 Immunol 2020.
- 424 13. Santos AF, Lack G. Basophil activation test: food challenge in a test tube or specialist research
 425 tool? Clin Transl Allergy 2016; 6:10.
- 426 14. Santos AF, Shreffler WG. Road map for the clinical application of the basophil activation test in
 427 food allergy. Clin Exp Allergy 2017; 47:1115-24.
- 428 15. Roberts G, Lack G. Diagnosing peanut allergy with skin prick and specific IgE testing. J Allergy
 429 Clin Immunol 2005; 115:1291-6.
- 430 16. DeLong ER, DeLong DM, Clarke-Pearson DL. Comparing the areas under two or more
 431 correlated receiver operating characteristic curves: a nonparametric approach. Biometrics 1988; 44:837432 45.
- 433 17. Epov L, Garkaby J, Almog M, Ben-Or O, Schichter-Konfino V, Toker O, et al. Using skin prick
 434 test to sesame paste in the diagnosis of sesame seed allergy. J Allergy Clin Immunol Pract 2020; 8:1456435 8.
- 436 18. Santos AF, Becares N, Stephens A, Turcanu V, Lack G. The expression of CD123 can decrease
- with basophil activation: implications for the gating strategy of the basophil activation test. Clin TranslAllergy 2016; 6:11.
- 439 19. Hausmann OV, Gentinetta T, Fux M, Ducrest S, Pichler WJ, Dahinden CA. Robust expression of
- 440 CCR3 as a single basophil selection marker in flow cytometry. Allergy 2011; 66:85-91.

441 20. Mukai K, Gaudenzio N, Gupta S, Vivanco N, Bendall SC, Maecker HT, et al. Assessing basophil
442 activation by using flow cytometry and mass cytometry in blood stored 24 hours before analysis. J
443 Allergy Clin Immunol 2017; 139:889-99 e11.

444 21. Elizur A, Appel MY, Nachshon L, Levy MB, Epstein-Rigbi N, Golobov K, et al. NUT Co

Reactivity - ACquiring Knowledge for Elimination Recommendations (NUT CRACKER) study. Allergy
2018; 73:593-601.

447 22. Nicolaou N, Murray C, Belgrave D, Poorafshar M, Simpson A, Custovic A. Quantification of
448 specific IgE to whole peanut extract and peanut components in prediction of peanut allergy. J Allergy
449 Clin Immunol 2011; 127:684-5.

450 23. Hemmings O, Du Toit G, Radulovic S, Lack G, Santos AF. Ara h 2 is the dominant peanut 451 allergen despite similarities with Ara h 6. J Allergy Clin Immunol 2020.

452 24. Santos AF, Du Toit G, O ' Rourke C, Becares N, Couto-Francisco N, Radulovic S, et al. 453 Identifying allergic children with severe adverse events during oral peanut challenges in the LEAP studies 454 by assessing basephil activation. Allergy 2010; 74:73

454 by assessing basophil activation. Allergy 2019; 74:73.

455

456

457

Tables and figure legends:

Table I. Demographic and clinical characteristics of participants in this sub-study of the Pronuts study.

| Clinical characteristics | Study population (n=90) | GB (n=49) | GE (n=41) | p value |
|---------------------------------|----------------------------|---------------|----------------|---------|
| Age (years) | 5.1 (3- 9) | 4.4 (2-8) | 5.8 (4-10) | 0.031 |
| Gender, male - n (%) | 54.4% (49/90) | 55.1% (27/49) | 53.7% (22/41) | 0.891 |
| Atopic eczema - n (%) | 61.1% (55/90) | 63.3% (31/49) | 58.5% (24/41) | 0.647 |
| Allergic rhinitis - n (%) | 46.7% (42/90) | 42.9% (21/49) | 51.2% (21/41) | 0.428 |
| Asthma - n (%) | 32.2% (29/90) | 24.5% (12/49) | 41.5% (17/41) | 0.086 |
| Other food allergy - n (%) | 41.1% (37/90) | 44.9% (22/49) | 36.6% (15/41) | 0.425 |
| Nut and seed allergies $-n$ (%) | | | | |
| Hazelnut allergy | 32.2% (29/90) | 30.6% (15/49) | 34.1% (14/ 41) | 0.721 |
| Cashew nut allergy | 41.1% (37/90) | 28.6% (14/49) | 56.1% (23/ 41) | 0.008 |
| Sesame seed allergy | 13.3% (12/90) | 14.3% (7/ 49) | 12.2% (5/41) | 0.771 |
| Almond allergy | 3.3% (3/ 90) | 2.0% (1/49) | 4.9% (2/41) | 0.455 |
| Peanut allergy | 57.8% (52/90) | 63.3% (31/49) | 51.2% (21/41) | 0.249 |

Median (IQR) for quantitative variables. GB, Great Britain site; GE, Geneva site. ive

Table II. Immunological characteristics of allergic and non-allergic subjects (n=83). Median and inter 465 quartile range are represented. Subjects with non-responder basophils were excluded.

Journal Pression

| | Non-allergic | Allergic | p value | AUC R | OC (95% | CI) |
|----------------------------------|-----------------|------------------|---------|--------|---------|--------|
| Hazelnut allergy | N=57 | N=26 | | | | |
| SPT weal diameter (mm) | 0.0 (0- 4) | 10.0 (6- 14) | <0.001 | 0.8721 | 0.7984 | 0.9458 |
| Specific IgE (KU/L) | | | | | | |
| Hazelnut | 0.64 (0.1-3.8) | 6.45 (2.5-18.5) | <0.001 | 0.7763 | 0.6764 | 0.8762 |
| Cor a 1 | 0.01 (0.0- 2.1) | 0.57 (0.0- 10.7) | 0.026 | 0.6495 | 0.5205 | 0.7785 |
| Cor a 8 | 0.01 (0.0- 0.0) | 0.02 (0.0- 0.1) | 0.058 | 0.6082 | 0.4784 | 0.7380 |
| Cor a 9 | 0.13 (0.0- 0.9) | 4.20 (0.3- 8.8) | <0.001 | 0.7390 | 0.6173 | 0.8608 |
| Cor a 14 | 0.02 (0.0- 0.1) | 3.27 (0.3-16.0) | <0.001 | 0.8659 | 0.7717 | 0.9600 |
| Basophil activation test (%CD63- | + Basophils) | | X | | | |
| Hazelnut 113.64 ng/ml | 0.0 (0- 1) | 7.7 (0-36) | <0.001 | 0.7510 | 0.6301 | 0.8719 |
| Hazelnut 22.73 ng/ml | 0.0 (0- 0) | 19.8 (8- 52) | <0.001 | 0.8556 | 0.7558 | 0.9554 |
| Hazelnut 4.545 ng/ml | 0.0 (0- 0) | 9.6 (1-31) | <0.001 | 0.8691 | 0.7831 | 0.9551 |
| Hazelnut 0.9091 ng/ml | 0.0 (0- 0) | 3.2 (0-26) | <0.001 | 0.8424 | 0.7519 | 0.9330 |
| Cashew nut allergy | N=48 | N=35 | | | | |
| SPT weal diameter (mm) | 0.0 (0- 2) | 12.0 (9- 15) | <0.001 | 0.9762 | 0.9422 | 1.0000 |
| Specific IgE to cashew (KU/L) | 0.19 (0.0- 0.7) | 4.15 (1.1- 10.8) | <0.001 | 0.8867 | 0.8148 | 0.9587 |
| Specific IgE to Ana o 3 (KU/L) | 0.01 (0.0- 0.1) | 3.89 (0.9- 10.7) | <0.001 | 0.9737 | 0.9417 | 1.0000 |
| Basophil activation test (%CD63- | + Basophils) | | | | | |
| Cashew 113.64 ng/ml | 0.0 (0- 1) | 12. (2- 45) | <0.001 | 0.8673 | 0.7798 | 0.9548 |
| Cashew 22.73 ng/ml | 0.0 (0- 1) | 14.4 (3-51) | <0.001 | 0.8750 | 0.7939 | 0.9561 |
| Cashew 4.545 ng/ml | 0.0 (0- 1) | 13.3 (1-34) | <0.001 | 0.8452 | 0.7577 | 0.9328 |
| Cashew 0.9091 ng/ml | 0.0 (0- 1) | 1.9 (0- 17) | 0.001 | 0.7036 | 0.5892 | 0.8180 |
| Almond allergy | N=79 | N=3 | | | | |
| SPT weal diameter (mm) | 0.0 (0- 2) | 8.0 (3-12) | 0.005 | 0.8945 | 0.7028 | 1.0000 |
| Specific IgE to almond (KU/L) | 0.20 (0.1- 1.3) | 1.64 (1.5-2.8) | 0.065 | 0.8143 | 0.7250 | 0.9037 |
| Basophil activation test (%CD63- | + Basophils) | | | | | |
| Almond 113.64 ng/ml | 0.1 (0-1) | 14.5 (1-38) | 0.013 | 0.9125 | 0.7895 | 1.0000 |
| Almond 22.73 ng/ml | 0.2 (0-1) | 8.6 (0- 44) | 0.085 | 0.7833 | 0.4402 | 1.0000 |
| Almond 4.545 ng/ml | 0.1 (0-1) | 15.3 (1-17) | 0.018 | 0.8833 | 0.6981 | 1.0000 |
| Almond 0.9091 ng/ml | 0.0 (0- 1) | 0.1 (0-22) | 0.396 | 0.6292 | 0.2427 | 1.0000 |
| Sesame seed allergy | N=71 | N=12 | | | | |
| SPT weal diameter (mm) | 0.0 (0- 1) | 12.5 (8- 21) | <0.001 | 0.9137 | 0.7969 | 1.0000 |
| Specific IgE to sesame (KU/L) | 0.30 (0.1-2.3) | 3.10 (1.6- 29.1) | <0.001 | 0.8173 | 0.7140 | 0.9205 |
| Basophil activation test (%CD63- | + Basophils) | | | | | |
| Sesame 113.64 ng/ml | 0.0 (0- 0) | 27.7 (11-79) | <0.001 | 0.9337 | 0.8109 | 1.0000 |

| Sesame 22.73 ng/ml | 0.1 (0-1) | 26.6 (1-48) | <0.001 | 0.8504 | 0.7004 | 1.0000 |
|------------------------------|------------------|-------------------|--------|--------|--------|--------|
| Sesame 4.545 ng/ml | 0.0 (0-1) | 2.7 (0-16) | 0.003 | 0.7359 | 0.5552 | 0.9166 |
| Sesame 0.9091 ng/ml | 0.0 (0- 1) | 0.3 (0-3) | 0.306 | 0.5874 | 0.3961 | 0.7788 |
| Peanut allergy | N=35 | N=48 | | | | |
| SPT weal diameter (mm) | 0.0 (0- 3) | 10.5 (9-15) | <0.001 | 0.9314 | 0.8734 | 0.9893 |
| Specific IgE (KU/L) | | | | | | |
| Peanut | 0.35 (0.1-2.1) | 14.60 (3.4- 50.9) | <0.001 | 0.8984 | 0.8328 | 0.9639 |
| Ara h 1 | 0.01 (0.0- 0.1) | 0.72 (0.0- 11.9) | <0.001 | 0.7696 | 0.6686 | 0.8706 |
| Ara h 2 | 0.01 (0.0-0.1) | 10.80 (1.6-33.6) | <0.001 | 0.9536 | 0.9033 | 1.0000 |
| Arah 3 | 0.03 (0.0- 0.1) | 0.13 (0.0- 1.6) | 0.028 | 0.6222 | 0.4993 | 0.7451 |
| Ara h 8 | 0.03 (0.0- 1.0) | 0.01 (0.0- 1.3) | 0.406 | 0.5585 | 0.4352 | 0.6817 |
| Ara h 9 | 0.01 (0.0- 0.1) | 0.01 (0.0- 0.0) | 0.155 | 0.3768 | 0.2588 | 0.4948 |
| Basophil activation test (%C | CD63+ Basophils) | | | | | |
| Peanut 22.73 ng/ml | 0.3 (0-1) | 37.3 (10- 68) | <0.001 | 0.8655 | 0.7862 | 0.9447 |
| Peanut 4.55 ng/ml | 0.0 (0- 0) | 28.7 (2-53) | <0.001 | 0.8595 | 0.7810 | 0.9381 |
| Peanut 0.909 ng/ml | 0.0 (0- 0) | 6.1 (0-24) | <0.001 | 0.7595 | 0.6621 | 0.8570 |
| Ara h 1 22.724 ng/ml | 0.0 (0- 1) | 24.0 (0- 50) | <0.001 | 0.7753 | 0.6780 | 0.8726 |
| Ara h 1 4.545 ng/ml | 0.0 (0- 1) | 8.6 (0- 36) | <0.001 | 0.7762 | 0.6807 | 0.8717 |
| Ara h 1 0.9091 ng/ml | 0.0 (0- 0) | 1.0 (0- 11) | <0.001 | 0.7173 | 0.6119 | 0.8226 |
| Ara h 2 4.55 ng/ml | 0.0 (0- 0) | 20.5 (3- 53) | <0.001 | 0.8696 | 0.7891 | 0.9502 |
| Ara h 2 0.91 ng/ml | 0.0 (0- 0) | 20.7 (5-47) | <0.001 | 0.8524 | 0.7686 | 0.9362 |
| Ara h 2 0.182 ng/ml | 0.0 (0- 1) | 17.7 (2- 50) | <0.001 | 0.8256 | 0.7376 | 0.9136 |
| Ara h 6 4.55 ng/ml | 0.0 (0- 0) | 27.1 (1-67) | <0.001 | 0.8250 | 0.7373 | 0.9127 |
| Ara h 6 0.91 ng/ml | 0.0 (0- 0) | 14.0 (0-48) | <0.001 | 0.8295 | 0.7459 | 0.9130 |
| Ara h 6 0.182 ng/ml | 0.0 (0- 0) | 2.1 (0-61) | <0.001 | 0.7137 | 0.6084 | 0.8190 |

| Allorgon | Cut off | Sensitivity | Specificity | Positive predictive value | Negative predictive |
|--------------------|---------|-------------------|-------------------|------------------------------|---------------------|
| Anergen | Cut-on | (95% CI) | (95% CI) | (95% CI) | value (95% CI) |
| | 60.98 | 15.38 (4.4-34.9) | 100.00 (93.7-100) | 100.00 (39.8-100) | 72.15 (60.9-81.7) |
| BAT to Hazelnut | 0.924 | 80.77 (60.7-93.5) | 87.72 (76.3-94.9) | 75 (55.1-89.3) | 90.91 (80.0-97.0) |
| | 0.13 | 92.31(74.9-99.1) | 66.67 (52.9-78.6) | 55.81 (39.9-70.9) | 95.00 (83.1-99.4) |
| BAT to | 25.21 | 42.86 (26.3-60.7) | 100 (92.6-100) | 100 (78.2-100) | 70.6 (58.3-81.0) |
| Cashew | 1.79 | 82.86 (66.4-93.4) | 87.5 (74.8-95.3) | 82.86 (66.4-93.4) | 87.5 (74.8-95.3) |
| | 0.36 | 88.57 (73.3-96.8) | 70.83(55.9-83.1) | 68.89 (53.4-81.8) | 89.5 (75.2-97.1) |
| | 16.11 | 66.67 (34.9-90.1) | 100 (94.9-100) | 100 (63.1-100) | 94.67 (86.9-98.5) |
| BAT to Sesame | 8.15 | 91.67 (61.5-99.8) | 98.59 (92.4-100) | 91.67 (61.5-99.8) | 98.59 (92.4-100) |
| | 14.26 | 75 (42.8-94.5) | 98.59 (92.4-100) | 90 (55.6-99.8) | 95.89 (88.5-99.1) |
| | 37.57 | 33.33 (0.8-90.6) | 100 (95.5-100) | 100 (2.5-100) | 97.56 (91.5-99.7) |
| BAT to Almond | 0.825 | 100 (29.2-100) | 80 (69.6-88.1) | 15.79 (3.4-39.6) | 100 (94.4-100) |
| | 18.63 | 33.33 (0.8-90.6) | 93.75 (86.0-97.9) | 16.67 (0.4-64.1) | 97.4 (90.9-99.7) |
| | 42.11 | 45.83 (31.4-60.8) | 97.14 (85.1-99.9) | 95.65 (78.1-99.9) | 56.67 (43.2-69.4) |
| BAT to Peanut | 4.717 | 81.25 (67.4-91.1) | 85.7 (69.7-95.2) | 88.64 (75.4-96.2) | 76.92 (60.7-88.9) |
| | 0.124 | 93.75 (82.8-98.7) | 37.14 (21.5-55.1) | 67.16 (54.6-78.2) | 81.25 (54.4-96.0) |
| | 16.02 | 39.58 (25.8-54.7) | 97.14 (85.1-99.9) | 95.00 (75.1-99.9) | 53.97 (40.9-66.6) |
| BAT to Ara h 1 | 0.82 | 64.58 (49.5-77.8) | 85.71 (69.7-95.2) | 86.11 (70.5-95.3) | 63.83 (48.5-77.3) |
| | 0.005 | 79.17 (65.0-89.5) | 60.00 (42.1-76.1) | 73.08 (59.0-84.4) | 67.74 (48.6-83.3) |
| | 2.264 | 79.17 (65.0-89.5) | 94.29 (80.8-99.3) | 95 (83.1-99.4) | 76.74 (61.4-88.2) |
| BAT to Ara h 2 | 0.57 | 83.33 (69.8-92.5) | 91.43 (76.9-98.2) | 93.02 (80.9-98.5) | 80 (64.4-91.0) |
| | 0.375 | 85.42 (72.2-93.9) | 85.71 (69.7-95.2) | 89.13 (76.4-96.4) | 81.08 (64.8-92.0) |

Table III. Cut-offs for the basophil activation test to different nuts and their diagnostic performance (n=83, non-responders were excluded).

| | 26.71 | 43.75 (29.5-58.8) | 97.14 (85.1-99.9) | 95.45 (77.2-99.9) | 55.74 (42.5-68.5) |
|-----|-------|-------------------|-------------------|-------------------|-------------------|
| h 6 | 0.96 | 72.92 (58.2-84.7) | 88.57 (73.3-96.8) | 89.74 (75.8-97.1) | 70.45 (54.8-83.2) |
| | 0.325 | 79.17 (65.0-89.5) | 74.29 (56.7-87.5) | 80.85 (66.7-90.9) | 72.22 (54.8-85.8) |

Footnote: Optimal concentrations of allergen were 22.73 ng/ml for peanut, 45.45 ng/ml for Ara h 1, 4.55 ng/ml for Ara h 2, 0.91 ng/ml for Ara h 6, 4.545 ng/ml for hazelnut, 22.73 ng/ml for cashew, 113.64 ng/ml for almond and 113.64 ng/ml for sesame.

Journal Pre-proof

| | Outcome of history SPT, sIgE t extracts and component | f o d s | | Misdia | gnosis | Outcome of BA | Т | Outcome of OFC and misdiagn osis | Correct diagnosis - total patier | % nts | Nr B requ - % t patie | ATs ired total ents | Total OFCs – with BAT (without BAT) and %reduction | Positive OFCs with BAT (without BAT) and %reduction |
|---------------------|---|------------------|----|--------|--------|---|---------------------|--|--|--------------|--------------------------------|------------------------------|--|---|
| | NA | 29 | 13 | FN=0 | FN=0 | | | | _ | | | | | |
| Cashew nut | Equivocal | 27 | 17 | | | NR or intermediate Negative | 8 6 16 10 | 2 1 CA FN=0 0 | 99% 87/88 5 | 98% 57/58 | 31% 27 | 29% 17 | 11% 6% 24 (27) 16 (17) | 75% 50% 2 (8) 1(2) |
| allergy | | | | | | Positive | 3 1 | FP=0 0 | | | | | | |
| | Allergic | 32 | 28 | FP=1 | FP=1 | | | | - | | | | | |
| | NA | 50 | 14 | FN=0 | FN=0 | | | <u>O</u> | | | | | | |
| Sesame | Equivocal | 35 | 13 | | | NR or intermediate | 4 2 | 1 / SA | 99% 1 | 00% | 39% 45% | | 11% <i>15%</i> | 50% <i>50%</i> |
| seed allergy | | | | | | Negative | 27 8 | FN=3 2 | 88/89 2 | 29/29 | 35 | 13 | 31 (35) 11 (13) | 4 (8) <i>3(6)</i> |
| 00 | | | | | | Positive | 4 2 | FP=1 0 | | | | | | |
| | Allergic | 4 | 2 | FP=0 | FP=0 | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | | | _ | | | | | |
| | NA | 69 | 40 | FN=0 | FN=0 | | | | | | | | | |
| | Equivocal | 19 | 17 | | | NR or intermediate | 4 4 | All NA | 100% 1 | 00% | 21% 29% | | 5% 6% | 75% 50% |
| Almond allergy | | | | | | Negative | 14 <i>12</i> | FN=1 1 | 89/89 5 | 58/58 | 19 | 17 | 18(19) 16 (17) | 1 (4) <i>1(2)</i> |
| | | | | | | Positive | 1 1 | FP=0 0 | | | | | | |
| | Allergic | 1 | 1 | FP=0 | FP=0 | | | | | | | | | |
| | NA | 17 | 7 | FN=0 | FN=0 | | | | | | | | | |
| | Equivocal | 59 | 38 | | | NR or intermediate | 32 22 | 15 12 HA | 98% 1 | 00% | 67% | 70% | 0% 0% | 0% 0% |
| Hazelnut allergy | | | | | | Negative | 27 16 | FN=1 <i>1</i> | 86/88 5 | 54/54 | 59 | 38 | 59 (59) 38 (38) | 15 (15) <i>13(13)</i> |
| | | | | | | Positive | 0 0 | FP=0 0 | | | | | | |
| | Allergic | 12 | 9 | FP=0 | FP=1 | | | | - | | | | | |
| Peanut | NA | 9 | 2 | FN=0 | FN=0 | | | | | | | | | |
| allergy | Equivocal | 34 | 17 | | | NR or intermediate | 73 | All NA | 97% | 96% | 39% 36% | | 15% <i>12%</i> | 60% <i>33%</i> |

| | | | | | Negative | 22 | 12 | FN=2 | 2 | | 85/88 | 45/47 | 34 | 17 | 29 (34) (17) | 15 | 2 (5) | 2(3) |
|----------|----|--------|-------------|------|----------|----|----|------|---|---|-------|-------|----|----|------------------------|----|-------|------|
| | | | | | Positive | 5 | 2 | FP=2 | 1 | | | | | | | | | |
| Allergic | 45 | 28 FP: | =1 <i>l</i> | FP=1 | | | | | | _ | | | | | | | | |

Table IV. Testing the proposed approach to using the basophil activation test to diagnose nut and sesame seed allergies – numbers in bold indicate the results for the whole population and numbers in italic refer to the subgroup who were actually challenged to the individual nuts as part of the Pronuts study. Allergic patients had results at or above the 95% positive predictive value (PPV) cut-off or a combination of above the 95% negative predictive value (NPV) and above the 95% PPV; non-allergics had below the 95% NPV for all tests; and equivocal were the remaining cases.

(subjects with results for all tests were included, including subjects with non-responder basophils: n=88 for hazelnut, n=88 for peanut, n=88 for cashew, n=89 for almond, n=89 for sesame). FN, false negative; FP, false positive; NR, non-responder; HA, hazelnut allergic; CA, cashew nut allergic; SA, sesame seed allergic.

Journal Pre-proof

1 Figure legends

2 **Figure 1.** Consort diagram.

- 3 Footnote: Hx, clinical history; OFC, oral food challenge; SPT skin prick test; BAT, basophil activation test. BAT
- 4 allergen stimulation used in this diagram were: 4.545 ng/ml hazelnut extract, 22.73 ng/ml cashew nut extract, 113.64

5 ng/ml sesame extract, 113.64 ng/ml for almond and 4.55 ng/ml Ara h 2, all CAST allergens.

6

7 Figure 2. Basophil activation to optimal concentration of nut or sesame extract in allergic (in red),

8 sensitized non-allergic (in green) and non-sensitized non-allergic children (in brown). n=83 (7

- 9 participants with non-responder basophils were excluded).
- 10 A. Hazelnut;
- 11 B. Cashew
- 12 C. Sesame
- 13 D. Almond
- 14 E. Peanut
- 15 F. Ara h 1
- 16 G. Ara h 2
- 17 H. Arah 6
- 18

19 Figure 3. Receiver operating characteristic (ROC) curve for different tests for the various nut allergies.

- A. Hazelnut allergy (p=0.230 for comparison of areas under the ROC curves)
- B. Cashew nut allergy (p=0.007 for comparison of areas under the ROC curves)
- 22 C. Sesame seed allergy (p=0.215 for comparison of areas under the ROC curves)
- D. Almond allergy (p=0.232 for comparison of areas under the ROC curves)
- E. Peanut allergy (p=0.094 for comparison of areas under the ROC curves)

- Figure 4. Impact of the basophil activation test as a second step in the diagnostic work-up following a first step consisting of SPT, specific IgE to the extract and specific IgE to the best component (Ara h 2 for peanut, Cor a 14 for hazelnut and Ana o 3 for cashew nut), SPT only, specific IgE only or specific IgE to the best component only.
- 30 A. Peanut
- B. Cashew nut
- 32 C. Sesame seed

33

ut ed



| OFC (n=55) OFC (n=64) OFC (n=29) OFC (n=58) OFC (n=49) - Allergic (n=23) - Allergic (n=30) - Allergic (n=8) - Allergic (n=3) - Allergic (n=3) - Non-allergic (n=32) - Non-allergic (n=32) - Non-allergic (n=21) - Non-allergic (n=55) - Non-allergic (n=52) + x + SPT/lgE (n=35) - Allergic (n=7) - Allergic (n=4) - Allergic (n=61) - Allergic (n=0) - Allergic (n=29) - Non-allergic (n=19) - Allergic (n=57) - Allergic (n=57) - Allergic (n=32) - Allergic (n=4) - Allergic (n=15) - Allergic (n=57) - Non-allergic (n=20) - Allergic (n=32) - Allergic (n=4) - Allergic (n=15) - Allergic (n=57) - Non-allergic (n=32) - Non-allergic (n=32) - Allergic (n=4) - Allergic (n=15) - Allergic (n=57) - Allergic (n=10) - Allergic (n=32) - Allergic (n=4) - Allergic (n=15) - Allergic (n=61) - Allergic (n=11) - Allergic (n=10) - Allergic (n=4) - Allergic (n=15) - Allergic (n=60) - Allergic (n=10) - Allergic (n=10) | =32) (n=17) (n=41) =20) ic (n=21) |
|---|---|
| BAT POSITIVE (n=4) BAT POSITIVE (n=15) BAT POSITIVE (n=8) BAT POSITIVE (n=1) BAT POSITIVE (n=1) - Allergic (n=4) - Allergic (n=15) - Allergic (n=8) - Allergic (n=1) - Allergic (n=1) | |
| E - Non-allergic (n=0) - Non-allergic (n=0) - Non-allergic (n=0) - Non-allergic (n=0) | (n=40) =38) sic (n=2) |
| BAT NEGATIVE (n=40) BAT NEGATIVE (n=38) BAT NEGATIVE (n=77) BAT NEGATIVE (n=77) BAT NEGATIVE (n=77) § 4 | E (n=37) =7) sic (n=30) |
| BAT INTERMEDIATE (n=39) BAT INTERMEDIATE (n=30) BAT INTERMEDIATE (n=2) BAT INTERMEDIATE (n=5) BAT INTERMEDIATE (n=5) U - Allergic (n=20) - Allergic (n=16) - Allergic (n=1) - Allergic (n=0) - Allergic (n=0) - Allergic (n=1) Image: Non-allergic (n=19) - Non-allergic (n=14) - Non-allergic (n=1) - Non-allergic (n=5) - Non-allergic (n=5) | DIATE (n=6) =3) sic (n=3) |
| BAT NON-RESPONDER BAT NON-RESPONDER | SPONDER =4) sic (n=3) |























Journal





Jonug

Journal Pre-proof



Journal



oundere



Journal













| | | opennend | | |
|------------|--|--|--|--------|
| | Curv Arah122724 (0.8 Arah19091 (0.81 Arah2091 (0.892 Arah6455 (0.914 Arah6455 (0.914 Arah60182 (0.87 | a ROC (area) 387) — Arah14 72) — Arah24 5) — Arah25 0) — Arah60 10) | 1545 (0.8817) 155 (0.8656) 1182 (0.8925) 191 (0.8817) | |
| ROC | | Mann-Whitn | ey | |
| | Area | SE | C 95% | |
| Arah122724 | 0.8387 | 0.0682 | 0.7050 | 0.9724 |
| Arah14545 | 0.8817 | 0.0685 | 0.7474 | 1.0000 |
| Arah19091 | 0.8172 | 0.0762 | 0.6679 | 0.9665 |
| Arah2455 | 0.8656 | 0.0621 | 0.7439 | 0.9873 |
| Arah2091 | 0.8925 | 0.0522 | 0.7901 | 0.9948 |
| Arah20182 | 0.8925 | 0.0601 | 0.7746 | 1.0000 |
| Arah6455 | 0.9140 | 0.0461 | 0.8236 | 1.0000 |
| Arah6091 | 0.8817 | 0.0588 | 0.7664 | 0.9970 |
| Arah60182 | 0.8710 | 0.0664 | 0.7407 | 1.0000 |
| |) | | | |



| ROC | | Mann-Whitney | | | | | | | |
|------------|--------|--------------|--------|--------|--|--|--|--|--|
| | Area | SE | a 9 | 5% | | | | | |
| Arah122724 | 0.8164 | 0.0641 | 0.6907 | 0.9421 | | | | | |
| Arah14545 | 0.7754 | 0.0761 | 0.6262 | 0.9245 | | | | | |
| Arah19091 | 0.6836 | 0.0929 | 0.5016 | 0.8656 | | | | | |



| ROC | | Mann-Whitney | | |
|-----------|--------|--------------|--------|--------|
| | Area | SE | a 9 | 5% |
| Arah2455 | 0.8091 | 0.1124 | 0.5887 | 1.0000 |
| Arah2091 | 0.8273 | 0.0951 | 0.6408 | 1.0000 |
| Arah20182 | 0.8091 | 0.1030 | 0.6072 | 1.0000 |





